










Appendix A								
Risks and Action Plan Risk Identified	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status	Status	Current Rating
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 02/11/2018	as at Mar 18	as at June 18	as at Sept 18
 1 Potential Claims growth	Chief Executive	The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments		Strategic	Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions.	I = H L = H High 9	I = H L = H High 9	I = H L = H High 9

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 2 Financial consequences of adverse planning decisions	Regeneration and Development	Production of Emerging Joint Local Plan – completion of Preferred Options stage	Jul-19	Strategic	The action required is still ongoing. The likelihood of an adverse challenge occurring due to progress made and any occurrence in the past 12 months, with any future challenges has allowed the final rating to be reduced.	I = H L = H High 9	I = H L = H High 9	I = H L = M Medium 6
  3 Fire risk occurrence	Corporate Health and Safety			Operational	All previous actions completed. Risk will remain high due to 2 occurrences in the past 12 months.	I = H L = H High 9	I = H L = H High 9	I = H L = H High 9

**Notable High and Medium Risks -
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 4 Failure to comply with relevant health and safety legislation	Regeneration and Development and Chief Executive	Corporate mandate for scheduled diary dates to update Target100 (Health and Safety system)	Ongoing	Strategic	There have been RIDDOR (Reporting of Injuries, Diseases and Dangerous Occurrence Regulations 1995 as amended) reportable incidents over the past six months. A focussed effort upon reviewing risk assessments is expected to improve the Council resilience.	I = 3 L = 1 Medium 3	I = H L = H High 9	I = H L = H High 9
 5 Failure to achieve income targets	Recycling and Waste	Continue to monitor the current global downturn in recycled material values	Ongoing	Operational	The potential market changes mean that the income derived from this is reducing significantly and is primarily outside of the Council's control, however markets are constantly reviewed	I = H L = M Medium 6	I = H L = H High 9	I = H L = H High 9

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 6 Failure to deliver Local Air Quality Management action plans function in line with statutory requirements - annual reporting	Environmental Protection	Deliver Air Quality Action Plan to DEFRA. Failure to manage air quality in accordance with statutory requirements and not addressing risks to residents health in affected areas. The minister has reserve power functions and judicial review of the council function /decision making may be called. Development and delivery of measures requires buy in from key stakeholders.	Dec-18	Operational	New report to go to Public protection by July 2019. Further submission to DEFRA in April 2019. The rating has since reduced and will appear on the next report.	I = M L = H Medium 8	I = H L = H High 9	I = H L = H High 9
 7  Cost of service may exceed budget	Recycling and Waste	Budget recovery plan developed to ensure elements of the operation can be effectively controlled and spend minimised.	Nov-18	Operational		I = H L = M Medium 6	I = H L = M Medium 6	I = H L = H High 9



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8 Loss of Mobile phones and mobile phone network	ICT Business Impact Assessment			Operational	The final risk rating has been increased due to the service disruption on the EE network	I = L L = L Low 1	I = L L = L Low 1	I = L L = H Medium 7
9 Work priorities take over completion of the audit plan	Audit			Operational	The final risk rating was increased due to the absence of the Audit Manager	I = M L = M Medium 5	I = M L = M Medium 5	I = M L = H Medium 8